

10/032,930

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Heikyung Min et al.

Appln. No.: 10/032,930

Filed: October 29, 2001

For: SEMICONDUCTOR STRUCTURE FOR
REDUCING SURFACE ZENER DRIFT USING
TITANIUM-BASED METALLURGY
(as amended)

Group Art Unit: 2818

Examiner: Le, Dung Anh

REQUEST FOR REFUNDMail Stop 16
Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The monthly statement of Deposit Account dated November 2004 (copy enclosed) indicates that \$770 was debited from Deposit Account 502305 on November 3, 2004 for Fee Code 1801 for a Request for Continued Examination (RCE). The Request for Continued Examination (RCE) was filed on November 2, 2004 via hand-delivery to the Petitions Office with a Petition to Withdraw Application from Issuance, and received by the U.S.P.T.O. on the same day (copy of stamped return receipt postcard enclosed). A check in the amount of \$920.00 (\$130 for the Petition fee and \$790 for the RCE fee) was submitted with the Petition and Request for Continued Examination at the time of filing (copies of the fee transmittal and both sides of check are enclosed). Accordingly, applicant's attorney respectfully requests a refund in the amount of \$770 to be credited to our Deposit Account No. 502305.

Respectfully submitted,

Dated: 1-5-05By: Mark C. PickeringMark C. Pickering
Registration No. 36,239
Attorney for Assignee30 Fifth Street, Suite 200
P.O. Box 300
Petaluma, CA 94953-0300
Direct Dial Telephone No. (707) 762-5583
Telephone: (707) 762-5500
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Customer No. 33402

Request for Refund

-1-

Atty. Dkt. No. 100-16802
(P05108-C2)**BEST AVAILABLE COPY**

Deposit Account Statement

Page 1 of 1

**United States
Patent and
Trademark Office****COPY****Deposit Account Statement**

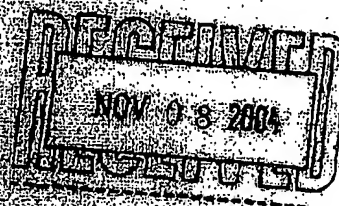
Requested Statement Month: November 2004
Deposit Account Number: 502305
Name: LAW OFFICES OF MARK C PICKERING
Attention: MARK C PICKERING
Address: P O BOX 300
City: PETALUMA
State: CA
Zip: 94953

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/03	1	10032930	072219-02782	1801	<u>\$770.00</u>	\$1,634.00
11/03	8	60623423	200-68100	1005	<u>\$160.00</u>	\$1,474.00
11/12	138	10726907	200-65700EPC	8007	<u>\$20.00</u>	\$1,454.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$2,404.00	\$950.00	\$0.00	\$1,454.00

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COPY



Patent Appl. No. 10/032,930 File No. 10P-16802 By: MCP
 In the Matter of the Application of: Heikyung Min et al.
 Title: Semiconductor structure for Reducing...
 Date Mailed: Via Hand-Delivery Due Date:

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon

RECEIVED

☒ Transmittal Letter - RCE
☐ Patent Application
☐ pgs. specification including (Claims and Abstract)
☐ Drawings sheets formal informal
☐ Oath/Declaration
☐ Assignment w/ Form PTO-1595
☐ Power of Attorney
☐ Small Entity Statement
☒ Deposit Account Authorization (in duplicate)
☒ Information Disclosure Statement, PTO-1449 w/ 7 refs.
☒ Check \$ 920.00
☐ Amendment/Response
☐ Request for Extension of Time (months)(patent)
☐ Terminal Disclaimer
☐ Notice of Appeal
☐ Appeal Brief (in triplicate)
☐ Maintenance Fee Transmittal
☒ Non Publishing Request

Request For: NOV 02 2004
☐ Status Inquiry
☐ Certificate of Mailing
☐ Express Mail
☐ Certificate under 35 U.S.C. 1(b)
☐ Certificate of Correction (PTO Form 1050)
☐ Issue Fee Transmittal
☒ Fee Transmittal in duplicate
☒ Request for Withdrawal
☒ Appn. from ISSU
☒ Under 37 CFR
☒ 6313 (a) to consider a
☒ Reference

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FEE TRANSMITTAL**For FY 2005***Patent Fees are subject to annual revision.***TOTAL AMOUNT OF PAYMENT** \$920**METHOD OF PAYMENT (check one)**

- 1 ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. **502305**

LAW OFFICES OF MARK C. PICKERING☐ Applicant claims small entity status. See 37 CFR 1.27.

- 2 ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

LARGE ENTITY

SMALL ENTITY

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility	
1002	350	2002	175	Design	
1003	550	2003	275	Plant	
1004	790	2004	395	Reissue	
1005	160	2005	80	Provisional	

SUBTOTAL (1) 0**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
• - 20 **	= 0	x 18	= \$ 0
Independent • - 3	= 0	x 88	= \$ 0
Multiple Dep.		x	= \$ 0

*** or number previously paid, if greater; for Reissues, see below:*

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claim in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue ind. claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0**SUBMITTED BY**

Law Offices of Mark C. Pickering
P.O. Box 300
Petaluma, CA 94953-0300
Telephone: (707) 762-5583
Facsimile: (707) 762-5504
Customer No. 33402

Complete if Known

Application Number 10/032,930
Filing Date October 29, 2001
First Named Inventor Heikyung Min et al.
Examiner Name Le, Dung Anh
Group Art Unit 2818
Attorney Document No. 100-16802 (P05108-C2)

FEE CALCULATION (continued)**3. Additional Fees**

Large Entity

Small Entity

Fee Code	Fee	Fee Code	Fee	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2520	1812	2520	For filing a request for ex parte reexamination
1804	920	1804	920	Requesting publication of SIR prior to Examiner action
1805	1840	1805	1840	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	430	2252	215	Extension for reply within second month
1253	980	2253	490	Extension for reply within third month
1254	1530	2254	765	Extension for reply within fourth month
1255	2080	2255	1040	Extension for reply within fifth month
1401	340	2401	170	Notice of Appeal
1402	340	2402	170	Filing a brief in support of an appeal
1403	300	2403	150	Request for oral hearing
1451	1510	1451	1510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive-unavoidable
1453	1370	2453	685	Petition to revive-unintentional
1501	1370	2501	685	Utility issue fee (or reissue)
1502	490	2502	245	Design issue fee
1503	660	2503	330	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(a)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))
1801	790	2801	395	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** \$920

Date: 11-1-04

By: Mark C. Pickering

Mark C. Pickering, Reg. No. 36,239

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Law Offices of Mark C. Pickering
P.O. Box 300
Petaluma, CA 94953-0300
Main Telephone: 707/762-5500
Facsimile: 707/762-5504

FACSIMILE TRANSMITTAL

To: Refunds Branch

Fax: 703-308-5077

USPTO

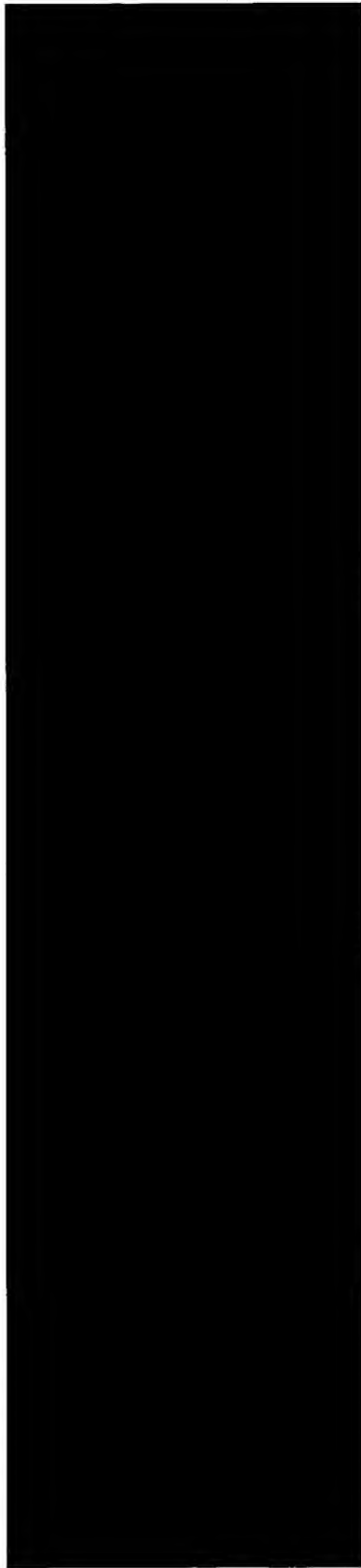
From: Mark C. Pickering

Date: January 5, 2005

Re: Request for Refund for
10/032,930

Pages: 6 (including cover sheet)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
APPLICATION

In re: PATENT APPLICATION of:

Inventor(s): Heikyung Min et al.

Appln. No.: 10/ 032,930

Group Art Unit 2818

Examiner: Le, Dung Anh

Atty. Dkt. 100-16802

No.

P05108-C2

Series Code ↑

Serial No. ↑

Filed: October 29, 2001

Title: Semiconductor Structure For Reducing Surface Zener
Drift Using Titanium-Based Metallurgy (as amended)

R C E

DO NOT USE FOR PROVISIONAL, DIVISIONAL, CIP
OR DESIGN APPLICATIONS, OR REEXAMINATION
OF PATENTS

Mail Stop §313(c)

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date:

November 1, 2004

RECEIVED

NOV 02 2004

Sir:

REQUEST FOR CONTINUED EXAMINATION (RCE) UNDER RULE 114

OFFICE OF PETITIONS

Please continue the examination of this application.

PREREQUISITES

This application was filed on/after June 8, 1995, is not abandoned, and no court action has been filed, or if filed, it has been terminated.An issue fee has not been paid (unless a petition under Rule 313(c)(2) is also being filed -- see item 4 below).Prosecution has been closed as defined in Rule 114(b).Reply to any outstanding action and Rule 17(c) filing fee must be enclosed

This application is entitled under Rule 114 to withdrawal of any outstanding finality or of any allowance plus a new action by the Examiner. Consideration on the merits of each submission (e.g., IDS, Amendment, new arguments, new evidence, but not appeal/reply briefs themselves) filed herewith is respectfully requested.

Please consider the following before the next Official Action:

1. Please ☒ enter ☐ do not enter the Amendment filed _____
2. ☐ The enclosed Amendment.
3. ☐ Consider the arguments in the appeal brief filed ____ and reply brief filed ____
4. ☒ The issue fee has been paid, but this RCE is based on Rule 313(c)(2). See enclosed petition.
5. ☒ The enclosed Information Disclosure Statement, Form PTO-1449 and 7 references.
6. ☒ The Enclosed Non-Publish Request.
7. ☐ Please suspend action under Rule 103(c) for a period of ____ months (3 mos. Max) for which the required \$130 fee is enclosed
8. ☒ A check in the amount of \$920 is enclosed of which \$790 is the required RCE filing fee and \$130.00 for the Petition Fee. (Postcard, fee transmittal (with copy) are also attached.)

(Our Deposit Account No. 502305)

(Atty. Dkt No.) 100-16802

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 18-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Correspondence Address Below Law Offices of Mark C. Pickering

P.O. Box 300
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Customer No. 33402

By Atty:

Mark C. Pickering

Reg. No.

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Sig:

Date:

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3/2004 AKELLEY 00000003 10032930

C:1801

790.00 OP

Adjustment Date: 02/11/2005 SDIRETA1
11/03/2004 SDAVIS 00000001 502305 10032930
01 FC:1801 770.00 CR

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